



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Inventor: Robert MOSZNER et al.

Group Art Unit: Not Yet Assigned

Application No.: 10/551,687

Examiner: Not Yet Assigned

Filed: September 30, 2005

Atty Dkt No: 71547.0002

Confirmation No.: Not Yet Assigned

Customer No.: 57362

For: OCCLUSION DEVICE AND METHOD FOR ITS PRODUCTION

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**INFORMATION DISCLOSURE STATEMENT**

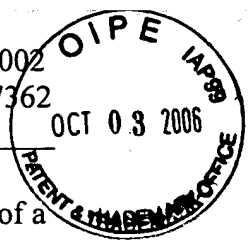
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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sirs:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the U.S. Patent and Trademark Office is hereby directed to the reference listed on the attached Form PTO/SB/08A. The reference was first cited in the International Preliminary Report on Patentability (IPRP) issued in connection to the corresponding International Patent Application No. PCT/EP2004/009279, which is also submitted herewith. It is requested that the information on Form PTO/SB/08A be considered during the prosecution of this application, and that the reference be made of record and appear among the "References Cited" on any issued patent.

The cited International Patent Publication No. WO 99/12478 is enclosed herewith, and is characterized in the IPRP, and thus, should satisfy the concise explanation of relevance under 37 CFR 1.56 (c).



Since this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits, no Statement under 37 C.F.R. §1.97(e) or fee under 37 C.F.R. §1.17(p) is required.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicants do not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

No fee is believed to be required. Should any fee be required, please charge any deficiency to Deposit Account No. 50-0951.

Respectfully submitted,

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

**(Use as many sheets as necessary)**

**Complete if Known**

Application Number	10/551,687
Filing Date	September 30, 2005
First Named Inventor	Robert MOSZNER et al.
Art Unit	Not Yet Assigned
Examiner Name	Not Yet Assigned
Attorney Docket Number	71547.0002

Sheet	1	of	1
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## U. S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			
		WO 99/12478	03/18/1999	AGA MEDICAL CORP.		✓

Examiner Signature		Date Considered	
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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